Premier | Wealth Management

A licensed company by Securities & Exchange Commission of Sri Lanka to operate in the Unit Trusts and Wealth Management under the Investment Manager license.

Company Reg: No PB4976

Premier Funds - Know Your Customer Form (Corporate)

This information is sought under the **Prevention of Money Laundering Act** No.6 of 2005, **Financial Transaction Reporting Act** No.6 of 2006 and the Rules & Regulations pertaining to the Securities Industry issued by the **Financial Intelligence Unit** of the Central Bank of Sri Lanka.

1 CORPORATE'S FULL NAME

2 YOUR COMPANY STATUS ☐ Local ☐ Foreign

3 ARE YOU LISTED IN CSE? ☐ YES ☐ NO

*CSE - Colombo Stock Exchange

4 ARE YOU LISTED IN ANY OTHER STOCK EXCHANGE ? ☐ YES ☐ NO

If YES, please specify the respective stock exchange/s

1

2

3

5 PURPOSE
(Why you invest here)

☐ Short term liquidity needs ☐ Long term capital appreciation

☐ Speculation/Return/Dividends ☐ Others

If "Others", please specify

6 SOURCE OR ORIGIN
(How you source funds to invest)

☐ Business Income/Profits ☐ Professional Fees/Income/Profits

☐ Retained Earnings ☐ Sales of Assets

☐ Local or Foreign Remittances ☐ Donations from charities - Local or Foreign

☐ Others Please specify

If it is a Donation from charities,
Please state the Charity Name/s

1
2
3

If it is a Foreign Remittance,
Please state the Country Name/s

1 2 3

7 INVESTMENT EXPOSURE ☐ YES ☐ NO

If YES, please state the length of the experience & exposure

☐ Less than 1 year ☐ 1 to 5 years ☐ More than 5 years

8 POLITICALLY EXPOSED PERSONS

Are you corporates who are or have been entrusted domestically by a prominent public function? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials. ☐ YES ☐ NO

If YES, Please explain the relationship

Are you corporates who are or have been entrusted with prominent public functions by a **foreign** country? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials. ☐ YES ☐ NO

If YES, Please explain the relationship

Are you corporates who are **related to a PEP** either directly (consanguinity) or indirectly or similar (civil) forms of partnership? (Owners, Shareholders and any significant stakeholder) ☐ YES ☐ NO

If YES, Please explain the relationship

Are you individuals who are closely **connected to a PEP, either socially or professionally?** ☐ YES ☐ NO

If YES, Please explain the relationship

Other Connected Business / Professional activities & business Interests (IF ANY)

DECLARATION

We hereby declare that the aforementioned information is true & correct according to our best of knowledge and ability. Any false or misleading information provided will be subject to liabilities.

AUTHORIZED SIGNATORIES

Name		Capacity	
Name		Capacity	
Name		Capacity	
Name		Capacity	

Company Seal/Rubber Stamp | **Authorized Signatory 1** | **Authorized Signatory 2**

FOR THE PURPOSE OF PWML

If the KYC Form is forwarded via a dealer or an agent, this section will have to be filled by such dealer or agent

Dealer or Agent Name

Region

Date of Submission to PWML D D M M Y Y Y Y

Remarks

Dealer/Agent - Authorized Signatory | Investment Operations Officer - PWML